

Discipline Report

This is the twenty-first periodic report to Members, Associates and Affiliates prepared in accordance with Bylaw 20.12(8). Its primary purpose is to educate and inform all Members, Associates and Affiliates about the disciplinary process and current disciplinary activities. Please send any comments on or suggestions for improvements in these reports to me at my *Yearbook* address. Information in this report regarding specific cases represents the status thereof at September 30, 2005.

1. Meetings

The Committee on Professional Conduct held formal meetings on June 10, 2005 and September 23, 2005. The committee also held four telephone conference calls on May 20, June 22, August 17 and October 6, 2005. Future meetings of the committee have been scheduled for March 3, 2006 (Toronto) and June 2, 2006 (Toronto).

2. Disciplinary Costs (\$000) to August 31, 2005

	FY 05-06		FY 04-05	
	Actual	Budget	Actual	Budget
Legal costs	119	–	340	–
Incremental costs	22	–	55	–
	141	225	395	250
	Actual		Actual	
Costs recovered	–		–	
No. of cases reviewed	19		15	

3. Cases

(a) Charges filed and cases completed

Since the last periodic report in May 2005, charges against a member were completed. A Discipline Bulletin was distributed in June 2005 with respect to this matter.

(b) Charges filed

Charges were previously filed against a member in connection with various pension plan valuations. A Disciplinary Tribunal has been appointed by the Chairperson of the Tribu-

nal Panel, in accordance with Bylaw 20.06(1), and arrangements are being made to hear these charges.

Please note that pursuant to the Bylaws, the Executive Director will publish a Notice to the public and the membership approximately 15 days prior to any hearing before a Disciplinary Tribunal.

Anyone who wishes to request more information about the disciplinary process may obtain that information from the Executive Director.

(c) Complaints and information

Apart from the cases mentioned in (a) and (b) in the period since the May 2005 report, the committee has considered 13 complaints, or other information which might lead to complaints, against 11 Members, Associates or Affiliates.

New Cases

Nine new cases have been received for the committee's consideration. In three of these, the committee decided to dismiss the matters. In four of these, the committee is obtaining further information before deciding how to proceed. In two of these, the committee decided to refer the complaint to an Investigation Team.

Old Cases

In an earlier case, after further consideration, the committee decided to refer the complaint to an Investigation Team.

The committee had previously referred two cases to two Investigation Teams, whose investigations are continuing.

In another earlier case, upon reviewing the Investigation Team report and the responses provided by the Respondent, the committee decided to dismiss the matter.

(d) Summary by Practice Area

The 19 cases reviewed in the 2005-2006 fiscal year may be summarized as follows:

Life	3
Pension	11
P&C	0
Workers' Compensation	0
Actuarial Evidence	3
Other	2

Robert McKay
Chairperson, Committee on Professional Conduct