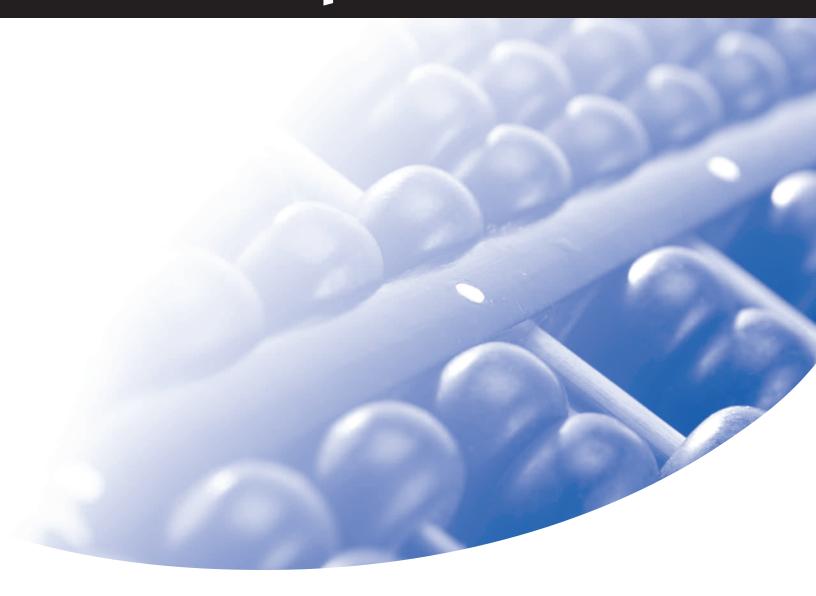
# Discipline Bulletin





# Report

This is the twenty-ninth periodic report to Members, Associates and Affiliates prepared in accordance with Bylaw 20.12(8). Its primary purpose is to educate and inform all Members, Associates and Affiliates about the disciplinary process and current disciplinary activities. Please send any comments on, or suggestions for improvements in, these reports to me at my Online Directory address. Information in this report regarding specific cases represents the status at December 1, 2009.

### Meetings

Since the last Discipline Bulletin of June 2009, the Committee on Professional Conduct (CPC) held two meetings: on July 7, 2009 (Toronto) and on November 27, 2009 (Montréal), and one conference call on October 29, 2009. A future meeting of the CPC has been scheduled for April 12, 2010 (Toronto).

## **Disciplinary Costs (\$000)** to October 20, 2009

	FY 09-10 Actual Budget		FY 08-09 Actual Budget	
Legal Costs Other Costs	107 11		185 65	
Total Costs	118	260	250	340
	Actual		Actual	
Costs Recovered No. of cases reviewed	0 10		31 18	

The budget for the fiscal year 2009/2010 is \$260,000.

#### Cases

#### (a) Charges filed and cases completed

There are no cases with respect to which tribunals have been completed since the last periodic report in June 2009.

#### (b) Cases outstanding where charges have been filed

As noted in the June 2009 Discipline Bulletin, charges were filed against a Member of the Institute in connection with an expert report and testimony.

A notice of the filing of charges and referral of the charges to a Disciplinary Tribunal of the Canadian Institute of Actuaries was circulated on May 28, 2009 to inform members of the Institute and the public about the case in accordance with Bylaw 20.04 (3.1). The Notice can be found on the Discipline section of the website.

A Disciplinary Tribunal had been appointed by the Chairperson of the Tribunal Panel in accordance with Bylaw 20.06(1), and arrangements are being made to hear these charges.

Pursuant to Bylaw 20.06(6), a Notice of a hearing before a Disciplinary Tribunal was published on December 2, 2009. The Notice included the date, time, and place of the hearing and can be found on the Discipline section of the website.

Anyone who wishes to request more information about the disciplinary process may obtain that information from the Executive Director.

#### (c) Other complaints and information

Apart from the case mentioned in (b), in the period since the June 2009 report, the CPC has considered eight complaints or other information that might lead to complaints, against ten Members, Associates or Affiliates.

Three new complaints and/or information were received for the CPC's consideration. In one of these cases, the CPC is obtaining further information before deciding how to proceed. In two of these cases, after obtaining additional information, the CPC decided to dismiss the matters.

Upon obtaining further information in two previous cases, the CPC decided to dismiss the matters.

In one earlier case, the CPC is obtaining further information before deciding how to proceed.

The CPC had previously referred one case to an Investigation Team, whose investigation is continuing.

In one of these earlier cases, the CPC decided to lay a Complaint against the Member and referred the Complaint to an Investigation Team, whose investigation is still continuing.

#### (d) Summary by practice area

The nine cases set out above may be summarized by practice area as follows:

	Cases	Individuals
Life	1	1 member
Pension	7	8 members
P&C	0	
Workers' Compensation	0	
Actuarial Evidence	1	1 member
Other	0	

William T. Weiland

Chairperson, Committee on Professional Conduct