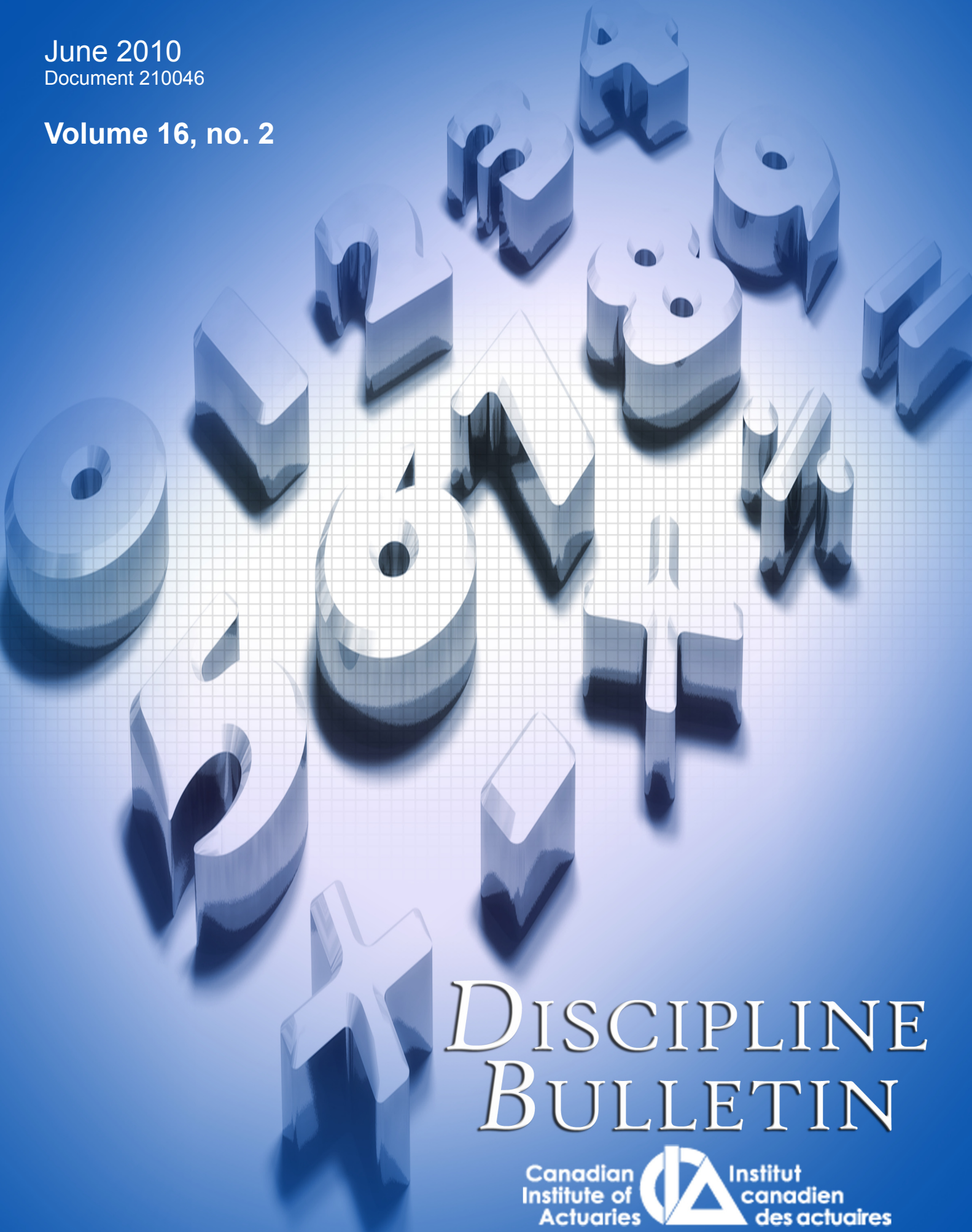


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DISCIPLINE BULLETIN

Canadian
Institute of
Actuaries



Institut
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des actuaires

Discipline Report

June 2010

This is the thirtieth periodic report to Members, Associates and Affiliates prepared in accordance with Bylaw 20.12(8). Its primary purpose is to educate and inform all Members, Associates and Affiliates about the disciplinary process and current disciplinary activities. Please send any comments on, or suggestions for improvements in, these reports to me at my *Online Directory* address. Information in this report regarding specific cases represents the status at April 30, 2010.

Meetings

Since the last Discipline Bulletin of November 2009, the Committee on Professional Conduct (CPC) held three meetings: on February 24, 2010 (by conference call), April 12, 2010 (in Toronto), and on April 29, 2010 (by conference call). A future meeting of the CPC has been scheduled for October 4, 2010 (in Toronto).

Disciplinary Costs (\$000) to March 31, 2010

	FY 09-10		FY 08-09	
	Actual	Budget	Actual	Budget
Legal costs	281	-	185	-
Other costs	239	-	65	-
Total costs	520	260	250	340
	<i>Actual</i>		<i>Actual</i>	
Costs recovered	24		31	
Cases reviewed	18		18	

The budget for the fiscal year 2009/2010 was \$260,000.

Cases

(a) Charges filed and cases completed

Since the last periodic report in November 2009, a case has been completed. A Discipline Notice was distributed on April 15, 2010 with respect to this matter.

(b) Other complaints and information

Apart from the case mentioned in (a), in the period since the November 2009 report, the CPC has considered nine complaints or other information that might lead to complaints, against nine Members, Associates or Affiliates.

Three new complaints and/or information were received for the CPC's consideration. In one of these cases, the CPC is obtaining further information before deciding how to proceed. In one of these cases, the CPC decided to refer the complaint to an Investigation Team. In one of these cases, after obtaining additional information, the CPC decided to dismiss the matter.

Upon obtaining further information in three previous cases, the CPC decided to dismiss the matters.

In one earlier case, the CPC is obtaining further information before deciding how to proceed.

The CPC had previously referred two cases to an Investigation Team. In one of these cases the investigation is continuing. In the other case, upon reviewing the Investigation Team report and response provided by the member, the CPC decided to dismiss the complaint.

(c) Summary by practice area

The 10 cases set out above may be summarized by practice area as follows:

	Cases	Individuals
Life	1	1 member
Pension	8	8 members
P&C	0	-
Workers' Compensation	0	-
Actuarial Evidence	1	1 member
Other	0	-

William T. Weiland

Chairperson,

Committee on Professional Conduct