

November 2021

DISCIPLINE

REPORT

Document 221128



Volume 28, no. 1



This is the 53rd periodic report to Fellows, Associates, and Affiliates prepared in accordance with Bylaw 20.12(8). Its primary purpose is to educate and inform all Fellows, Associates, and Affiliates about the disciplinary process and current disciplinary activities. Please send any comments or suggestions for improvements in these reports to me at my online directory address.

Meetings

Since the last discipline report of June 2021, the Professional Conduct Board (PCB) (previously called the Committee on Professional Conduct (CPC)) held five video conferences. The next PCB meeting will be scheduled for early 2022.

Disciplinary costs (\$000) to September 30, 2021

	FY 21-22		FY 20-21	
	Actual	Budget	Actual	Budget
Routine legal costs	18.3	75	27.2	75
Non-routine legal costs*	116.4		314.3	
Other costs*	2		134.7	
Total costs	136.7	75	476.2	75
	Actual		Actual	
Costs recovered				
No. of cases reviewed	15		12	

*Note: Non-routine legal costs and other costs are now paid from a discipline reserve of \$750,000.

Cases

Charges filed and cases completed

There was one case where charges were filed and the case completed since the last report. The Appeal Tribunal's [decision](#) was published as required by Bylaw 20.12(2) on July 22, 2021.

Cases outstanding where charges have been filed

Charges were filed against four current members and one former member resulting from one case. A Disciplinary Tribunal has been appointed and the notice will be published in accordance with Bylaw 20.06(6) when the date of the hearing has been set.

Anyone who wishes to request more information about the disciplinary process may obtain that information from the Executive Director.

Other complaints and information

Since the last report, the PCB discussed 13 cases against 13 Fellows, Associates, or Affiliates including the cases noted above.

In one earlier case and one new case the PCB is still obtaining further information before deciding how to proceed. Two earlier cases were dismissed following review of additional information.

Three earlier cases are still under review by investigation teams (ITs).

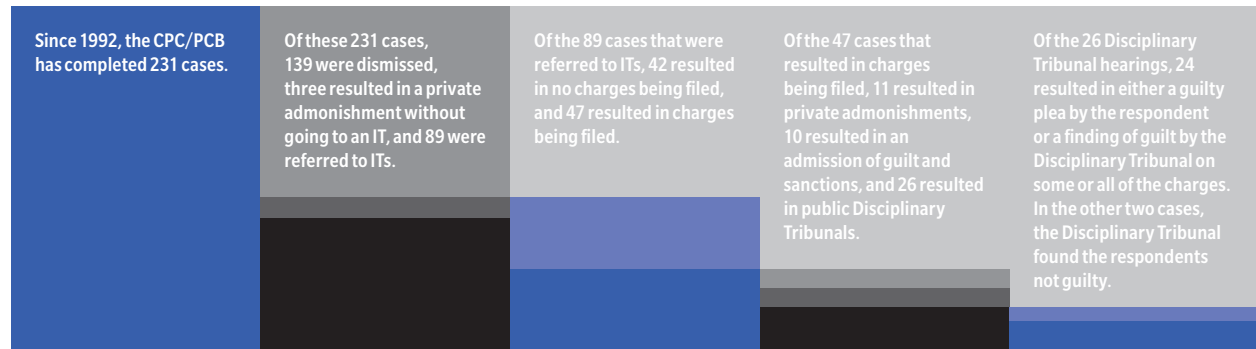
Summary by practice area

The 13 cases set out above may be summarized by practice area as follows:

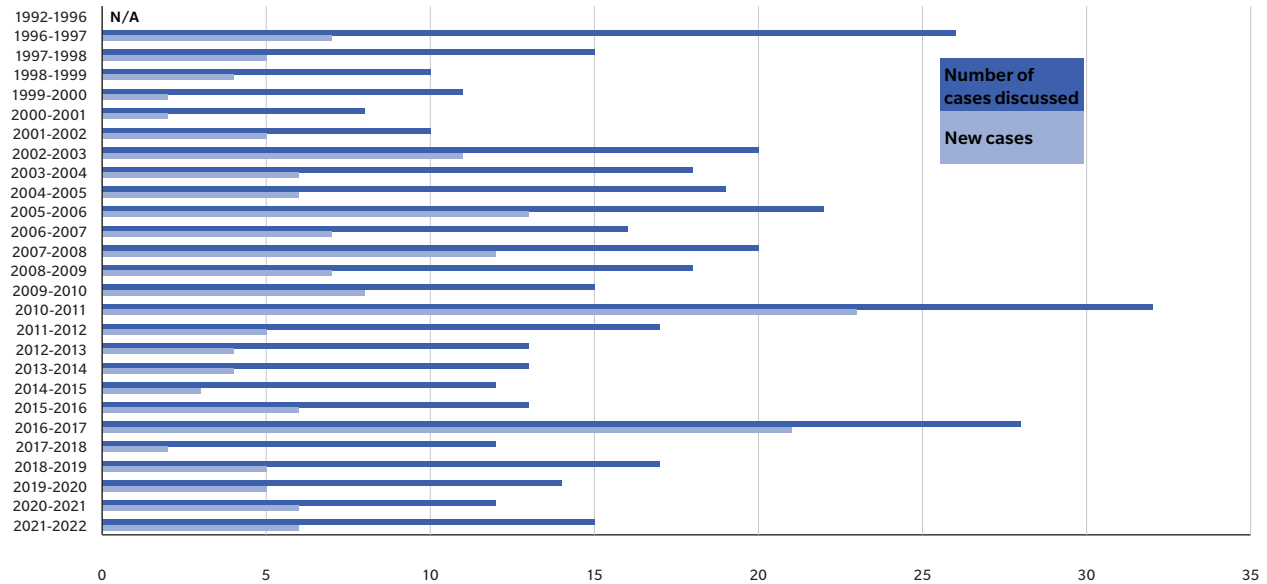
	Cases	Individuals
Life	3	3
Pension	6	6
P&C	0	0
Workers' compensation	0	0
Actuarial evidence	0	0
Other	4	4



Summary of CPC/PCB cases since 1992



Statistics of cases reviewed per fiscal year



Jean-Claude Primeau, FCIA
Vice-Chair, Professional Conduct Board